

EULERIAN POLYNOMIALS AND POLYNOMIAL  
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ABSTRACT. We prove that the Eulerian polynomial satisfies certain polynomial congruences. Furthermore, these congruences characterize the Eulerian polynomial.

## 1. INTRODUCTION

The Eulerian polynomial  $A_\ell(x)$  ( $\ell \geq 1$ ) was introduced by Euler in the study of sums of powers [5]. In this paper, we define the Eulerian polynomial  $A_\ell(x)$  as the numerator of the rational function

$$(1.1) \quad F_\ell(x) = \sum_{k=1}^{\infty} k^\ell x^k = \left(x \frac{d}{dx}\right)^\ell \frac{1}{1-x} = \frac{A_\ell(x)}{(1-x)^{\ell+1}}.$$

The first few examples are  $A_1(x) = x$ ,  $A_2(x) = x + x^2$ ,  $A_3(x) = x + 4x^2 + x^3$ ,  $A_4(x) = x + 11x^2 + 11x^3 + x^4$ , etc. The Eulerian polynomial  $A_\ell(x)$  is a monic of degree  $\ell$  with positive integer coefficients. Write  $A_\ell(x) = \sum_{k=1}^{\ell} A(\ell, k)x^k$ . The coefficient  $A(\ell, k)$  is called an Eulerian number.

In the 1950s, Riordan [10] discovered a combinatorial interpretation of Eulerian numbers in terms of descents and ascents of permutations, and Carlitz [3] defined  $q$ -Eulerian numbers. Since then, Eulerian numbers are actively studied in enumerative combinatorics. (See [4, 11, 8].)

Another combinatorial application of the Eulerian polynomial was found in the theory of hyperplane arrangements [15, 14]. The characteristic polynomial of the so-called Linial arrangement [9] can be expressed in terms of the root system generalization of Eulerian polynomials introduced by Lam and Postnikov [6]. The comparison of expressions in [9] and [15] yields the following.

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Received by the editors June 15, 2017, and in revised form January 13, 2019.

2000 *Mathematics Subject Classification.* 05A15, 05E99.

*Key words and phrases.* Eulerian polynomials, Hyperplane arrangements.

The authors thank Mr. Rei Yoshida for several discussions about the contents of this paper. The authors also thank Prof. Richard Stanley for noticing [12]. M. Yoshinaga was partially supported by JSPS KAKENHI Grant Number JP25400060, JP15KK0144, and JP16K13741.

**Theorem 1.1** ([15, Proposition 5.5]). *For  $\ell, m \geq 2$ , the Eulerian polynomial  $A_\ell(x)$  satisfies the following*

$$(1.2) \quad A_\ell(x^m) \equiv \left( \frac{1 + x + x^2 + \cdots + x^{m-1}}{m} \right)^{\ell+1} A_\ell(x) \pmod{(x-1)^{\ell+1}}.$$

The purpose of this paper is two-fold. First, we give a direct and simpler proof of Theorem 1.1. Second, we prove the converse of the above theorem. Namely, the congruence (1.2) characterizes the Eulerian polynomial as follows.

**Theorem 1.2.** *Let  $f(x)$  be a monic of degree  $\ell$ . Then,  $f(x) = A_\ell(x)$  if and only if the congruence (1.2) holds for some  $m \geq 2$ . (See Theorem 5.1.)*

The remainder of this paper is organized as follows. After recalling classical results on Eulerian polynomials in §2, we briefly describe in §3 the proof of the congruence (1.2) in [15] that is based on the expression of characteristic polynomials of Linial hyperplane arrangements. In §4, we give a direct proof of the congruence. In §5, we give the proof of Theorem 1.2.

**Remark.** The right-hand side of (1.2) is discussed also in [12, Proposition 2.5].

## 2. BRIEF REVIEW OF EULERIAN POLYNOMIALS

In this section, we recall classical results on the Eulerian polynomial and the Eulerian numbers  $A(\ell, k)$ . By definition (1.1), the Eulerian polynomial  $A_\ell(x)$  satisfies the relation

$$\frac{A_\ell(x)}{(1-x)^{\ell+1}} = x \frac{d}{dx} \frac{A_{\ell-1}(x)}{(1-x)^\ell},$$

which yields the following recursive relation.

$$(2.1) \quad A(\ell, k) = k \cdot A(\ell-1, k) + (\ell-k+1) \cdot A(\ell-1, k-1).$$

Consider the coordinate change  $w = \frac{1}{x}$ . Then, the Euler operator is transformed as  $x \frac{d}{dx} = -w \frac{d}{dw}$ . The direct computation using the relation  $\frac{1}{1-\frac{1}{w}} = 1 - \frac{1}{1-w}$  yields  $x^{\ell+1} A_\ell(\frac{1}{x}) = A_\ell(x)$ . Equivalently,  $A(\ell, k) = A(\ell, \ell+1-k)$ .

Definition (1.1) is also equivalent to

$$(2.2) \quad A_\ell(x) = (1-x)^{\ell+1} \cdot \sum_{k=0}^{\infty} k^\ell x^k$$

and

$$(2.3) \quad \sum_{k=0}^{\infty} k^\ell x^k = A_\ell(x) \cdot \sum_{k=0}^{\infty} (-x)^k \binom{-\ell-1}{k}.$$

Then, (2.2) yields

$$(2.4) \quad A(\ell, k) = \sum_{j=0}^k (-1)^j \binom{\ell+1}{j} (k-j)^\ell,$$

and (2.3) yields

$$(2.5) \quad k^\ell = \sum_{j=1}^{\ell} A(\ell, j) \binom{k+\ell-j}{\ell}.$$

Note that both sides of (2.5) are polynomials of degree  $\ell$  in  $k$ , and it holds for any  $k > 0$ . Hence the equality holds at the level of polynomials in  $t$ . Thus, we have

$$(2.6) \quad t^\ell = \sum_{j=1}^{\ell} A(\ell, j) \binom{t+\ell-j}{\ell},$$

which is called the Worpitzky identity [13]. Using the shift operator  $S : t \mapsto t-1$  (see §3), the Worpitzky identity can be written as

$$(2.7) \quad t^\ell = A_\ell(S) \binom{t+\ell}{\ell}.$$

Next, we consider exponential generating series of  $A_\ell(x)$ , and describe relations with Bernoulli numbers. First, using (2.2), we have

$$(2.8) \quad \begin{aligned} \sum_{\ell=0}^{\infty} \frac{A_\ell(x)}{\ell!} t^\ell &= \sum_{\ell=0}^{\infty} \frac{t^\ell}{\ell!} (1-x)^{\ell+1} \sum_{n=0}^{\infty} n^\ell x^n \\ &= (1-x) \sum_{n=0}^{\infty} x^n e^{nt(1-x)} \\ &= \frac{1-x}{1-xe^{t(1-x)}}. \end{aligned}$$

Replacing  $x$  by  $-1$  in (2.8), we have

$$(2.9) \quad \sum_{\ell=0}^{\infty} \frac{A_\ell(-1)}{\ell!} t^\ell = \frac{2}{1+e^{2t}}.$$

Recall that the Bernoulli polynomial  $B_\ell(x)$  is defined by

$$(2.10) \quad \sum_{\ell=0}^{\infty} \frac{B_\ell(x)}{\ell!} t^\ell = \frac{te^{xt}}{e^t - 1},$$

( $B_0(x) = 1, B_1(x) = x - \frac{1}{2}, B_2(x) = x^2 - x + \frac{1}{6}, B_3(x) = x^3 - \frac{3}{2}x^2 + \frac{1}{2}x, B_4(x) = x^4 - 2x^3 + x^2 - \frac{1}{30}, \dots$ ) and the constant term  $B_\ell(0)$  is called the Bernoulli number. Replacing  $x$  by 0 and  $t$  by  $at$  with  $a \in \mathbb{C}$  in (2.10), we have

$$(2.11) \quad \sum_{\ell=0}^{\infty} \frac{B_\ell(0)}{\ell!} (at)^\ell = \frac{at}{e^{at} - 1}.$$

Using the identity  $\frac{2t}{e^{2t}+1} = \frac{2t}{e^{2t}-1} - \frac{4t}{e^{4t}-1}$ , the Bernoulli number  $B_\ell(0)$  can be expressed as

$$(2.12) \quad B_\ell(0) = \frac{\ell}{2^\ell(1-2^\ell)} A_{\ell-1}(-1).$$

There is another relation between Eulerian polynomials and Bernoulli polynomials. Let  $\ell > 0$ . Using (2.5) and the famous formula  $\sum_{x=0}^{N-1} x^\ell = \frac{B_{\ell+1}(N) - B_{\ell+1}(0)}{\ell+1}$ , we have

$$(2.13) \quad B_{\ell+1}(N) - B_{\ell+1}(0) = (\ell+1) \cdot \sum_{k=1}^{\ell} A(\ell, k) \binom{\ell+N-k}{\ell+1}.$$

With the shift operator  $S$ , (2.13) can also be expressed as

$$(2.14) \quad B_{\ell+1}(t) - B_{\ell+1}(0) = (\ell+1) A_\ell(S) \binom{t+\ell}{\ell+1}.$$

(This formula appeared in [13, page 209] as “The second form of Bernoulli function.”)

### 3. BACKGROUND ON HYPERPLANE ARRANGEMENTS

In this section, we recall the proof of the congruence (1.2) presented in [15].

Let  $\mathcal{A} = \{H_1, \dots, H_k\}$  be a finite set of affine hyperplanes in a vector space  $V$ . We denote the set of all intersections of  $\mathcal{A}$  by  $L(\mathcal{A}) = \{\cap S \mid S \subset \mathcal{A}\}$ . The set  $L(\mathcal{A})$  is partially ordered by reverse inclusion, which has a unique minimal element  $\hat{0} = V$ . The characteristic polynomial of  $\mathcal{A}$  is defined by

$$\chi(\mathcal{A}, q) = \sum_{X \in L(\mathcal{A})} \mu(X) q^{\dim X},$$

where  $\mu$  is the Möbius function on  $L(\mathcal{A})$ , defined by

$$\mu(X) = \begin{cases} 1, & \text{if } X = \hat{0} \\ -\sum_{Y < X} \mu(Y), & \text{otherwise.} \end{cases}$$

Let  $V = \{(x_0, x_1, \dots, x_n) \in \mathbb{R}^{\ell+1} \mid \sum x_i = 0\} \subset \mathbb{R}^{\ell+1}$ . For integers  $0 \leq i < j \leq \ell$  and  $s \in \mathbb{Z}$ , denote by  $H_{ij,s}$  the affine hyperplane  $\{(x_0, \dots, x_\ell) \in V \mid x_i - x_j = s\}$ .

Let  $m \geq 1$  be a positive integer. The arrangement

$$\mathcal{L}^m = \{H_{ij,s} \mid 0 \leq i < j \leq \ell, 1 \leq s \leq m\}$$

is called the (extended) Linial arrangement (of type  $A_\ell$ ). The Linial arrangement has several intriguing enumerative properties [9]. Postnikov and Stanley [9] (see also [1]) gave the following expression for the characteristic polynomial  $\chi(\mathcal{L}^m, t)$ .

$$(3.1) \quad \chi(\mathcal{L}^m, t) = \left( \frac{1 + S + S^2 + \dots + S^m}{m+1} \right)^{\ell+1} t^\ell,$$

where  $S$  acts on a function  $f(t)$  by  $Sf(t) = f(t-1)$  (naturally  $S^k f(t) = f(t-k)$ ) as the shift operator. Using the Worpitzky identity (2.7), (3.1) can be written as

$$(3.2) \quad \chi(\mathcal{L}^m, t) = \left( \frac{1 + S + S^2 + \cdots + S^m}{m+1} \right)^{\ell+1} A_\ell(S) \binom{t+\ell}{\ell}.$$

On the other hand, using the lattice points interpretation of the Worpitzky identity, the following formula was obtained in [15]

$$(3.3) \quad \chi(\mathcal{L}^m, t) = A_\ell(S^{m+1}) \binom{t+\ell}{\ell}.$$

The formulas (3.2) and (3.3) imply that the operator

$$(3.4) \quad \left( \frac{1 + S + S^2 + \cdots + S^m}{m+1} \right)^{\ell+1} A_\ell(S) - A_\ell(S^{m+1})$$

annihilates the polynomial  $\binom{t+\ell}{\ell}$  of degree  $\ell$ , which means that (3.4) is divisible by  $(S-1)^{\ell+1}$  (see [15, Prop. 2.8]). Hence the congruence (1.2) follows.

#### 4. DIRECT PROOF OF THE CONGRUENCE

**4.1. Special case:**  $m = 2$ . We first handle the case  $m = 2$ . By considering  $F_\ell(x) + F_\ell(-x)$ , it is easily seen that the formal power series  $F_\ell(x) = \sum_{k=1}^{\infty} k^\ell x^k$  satisfies

$$(4.1) \quad F_\ell(x) - 2^{\ell+1} F_\ell(x^2) = -F_\ell(-x).$$

Using the Eulerian polynomial, (4.1) can be written as

$$(4.2) \quad (1+x)^{\ell+1} \cdot A_\ell(x) - 2^{\ell+1} \cdot A_\ell(x^2) = -(1-x)^{\ell+1} \cdot A_\ell(-x),$$

which implies the congruence (1.2) for  $m = 2$ .

**Remark.** Substituting formally  $x = 1$  into (4.1), we obtain the formula “ $F_\ell(1) = \frac{A_\ell(-1)}{2^{\ell+1}(2^{\ell+1}-1)}$ .” Then, (2.12) implies “ $F_\ell(1) = -\frac{B_{\ell+1}(0)}{\ell+1}$ ”, which gives the correct value  $\zeta(-\ell) = -\frac{B_{\ell+1}(0)}{\ell+1}$  of the Riemann zeta function for  $\ell \geq 1$ .

**4.2. General case.** Let  $m \geq 2$ . Denote by  $\zeta_m = e^{2\pi\sqrt{-1}/m}$  the primitive  $m$ th root of unity. We will use the following fact

$$(4.3) \quad \sum_{j=1}^{m-1} \zeta_m^{jk} = \begin{cases} m-1, & \text{if } m|k, \\ -1, & \text{if } m \nmid k, \end{cases}$$

for  $k \in \mathbb{Z}$ .

Using definition (1.1) (or (2.2)), the polynomial

$$A_\ell(x^m) - \left( \frac{1 + x + x^2 + \cdots + x^{m-1}}{m} \right)^{\ell+1} A_\ell(x)$$

can be expressed as

$$\begin{aligned} (1-x^m)^{\ell+1} \sum_{k=1}^{\infty} k^{\ell} x^{mk} - \left( \frac{1+x+x^2+\cdots+x^{m-1}}{m} \right)^{\ell+1} (1-x)^{\ell+1} \sum_{k=1}^{\infty} k^{\ell} x^k \\ = \left( \frac{1-x^m}{m} \right)^{\ell+1} \cdot \left\{ m \cdot \sum_{k=1}^{\infty} (mk)^{\ell} x^{mk} - \sum_{k=1}^{\infty} k^{\ell} x^k \right\}. \end{aligned}$$

It is enough to show that

$$(4.4) \quad P(x) := (1+x+\cdots+x^{m-1})^{\ell+1} \left\{ m \cdot \sum_{k=1}^{\infty} (mk)^{\ell} x^{mk} - \sum_{k=1}^{\infty} k^{\ell} x^k \right\}$$

becomes a polynomial. Applying (4.3), we have

$$\begin{aligned} P(x) &= (1+x+\cdots+x^{m-1})^{\ell+1} \sum_{k=1}^{\infty} \sum_{j=1}^{m-1} \zeta_m^{jk} k^{\ell} x^k \\ &= \prod_{i=1}^{m-1} (1-\zeta_m^i x)^{\ell+1} \cdot \sum_{k=1}^{\infty} \sum_{j=1}^{m-1} k^{\ell} (\zeta_m^j x)^k \\ &= \sum_{j=1}^{m-1} \left( \prod_{\substack{1 \leq i \leq m-1 \\ i \neq j}} (1-\zeta_m^i x)^{\ell+1} \right) \cdot A_{\ell}(\zeta_m^j x), \end{aligned}$$

which is a polynomial in  $x$ . This completes the proof of Theorem 1.1.

**Remark.** The congruence (1.2) is not optimal when  $\ell$  is even. Indeed, if  $\ell$  is even, the congruence (1.2) holds modulo  $(1-x)^{\ell+2}$ , which follows from the symmetry  $A(\ell, k) = A(\ell, \ell+1-k)$  and  $A_{\ell}(-1) = 0$ .

## 5. A CHARACTERIZATION OF THE EULERIAN POLYNOMIAL

In this section, we prove the following.

**Theorem 5.1.** *Let  $f(x) = x^{\ell} + a_1 x^{\ell-1} + \cdots + a_{\ell} \in \mathbb{C}[x]$  be a monic complex polynomial of degree  $\ell > 0$ . Then, the following are equivalent.*

- (a)  $f(x) = A_{\ell}(x)$ .
- (b) For any  $m \geq 2$ ,  $f(x)$  satisfies the congruence (1.2). Namely,

$$(5.1) \quad f(x^m) \equiv \left( \frac{1+x+\cdots+x^{m-1}}{m} \right)^{\ell+1} f(x) \pmod{(1-x)^{\ell+1}}$$

is satisfied.

- (c) The congruence for  $m = 2$  holds, namely,

$$f(x^2) \equiv \left( \frac{1+x}{2} \right)^{\ell+1} f(x) \pmod{(1-x)^{\ell+1}}$$

is satisfied.

- (d) There exists an integer  $m \geq 2$  such that the congruence (5.1) holds.

*Proof.* (a)  $\implies$  (b) is nothing but Theorem 1.1. The implications (b)  $\implies$  (c)  $\implies$  (d) are obvious.

Let us assume (d). We shall prove (a). Choose an integer  $m \geq 2$  such that (5.1) is satisfied. There exists a polynomial  $g(x) \in \mathbb{C}[x]$  that satisfies

$$(5.2) \quad f(x^m) - \left( \frac{1+x+\cdots+x^{m-1}}{m} \right)^{\ell+1} \cdot f(x) = (1-x)^{\ell+1} \cdot g(x).$$

Note that  $\deg g = m\ell + m - \ell - 2 < (m-1)(\ell+1)$ . Dividing this equation by  $(1-x^m)^{\ell+1}$ , we have

$$(5.3) \quad \frac{f(x^m)}{(1-x^m)^{\ell+1}} - \frac{1}{m^{\ell+1}} \cdot \frac{f(x)}{(1-x)^{\ell+1}} = \frac{g(x)}{(1+x+\cdots+x^{m-1})^{\ell+1}}.$$

We expand  $\frac{g(x)}{(1+\cdots+x^{m-1})^{\ell+1}}$  into a partial fraction,

$$(5.4) \quad \frac{g(x)}{(1+\cdots+x^{m-1})^{\ell+1}} = \sum_{j=1}^{m-1} \frac{R_j(x)}{(1-\zeta_m^j x)^{\ell+1}},$$

where  $R_j(x) \in \mathbb{C}[x]$  with  $\deg R_j(x) \leq \ell$ . As is well known in the theory of formal power series [11], there exist polynomials  $\alpha(t), \beta_j(t) \in \mathbb{C}[t]$  with  $\deg \alpha(t), \deg \beta_j(t) \leq \ell$  such that

$$(5.5) \quad \frac{f(x)}{(1-x)^{\ell+1}} = \sum_{k=0}^{\infty} \alpha(k)x^k, \text{ and } \frac{R_j(\zeta_m^{-j}x)}{(1-x)^{\ell+1}} = \sum_{k=0}^{\infty} \beta_j(k)x^k.$$

Then, the right hand side of (5.3) is

$$(5.6) \quad \begin{aligned} \text{RHS of (5.3)} &= \sum_{j=1}^{m-1} \sum_{k \geq 0} \beta_j(k) \zeta_m^{jk} x^k \\ &= \sum_{j=1}^{m-1} \sum_{r=0}^{m-1} \sum_{q=0}^{\infty} \beta_j(qm+r) \zeta_m^{j(qm+r)} x^{qm+r} \\ &= \sum_{q=0}^{\infty} \sum_{r=0}^{m-1} \left( \sum_{j=1}^{m-1} \zeta_m^{jr} \beta_j(qm+r) \right) x^{qm+r}. \end{aligned}$$

On the other hand, the left hand side of (5.3) is

$$(5.7) \quad \begin{aligned} \text{LHS of (5.3)} &= \sum_{k \geq 0} \alpha(k)x^{km} - \frac{1}{m^{\ell+1}} \sum_{k \geq 0} \alpha(k)x^k \\ &= \sum_{q=0}^{\infty} \left( \alpha(q) - \frac{1}{m^{\ell+1}} \alpha(mq) \right) x^{mq} - \sum_{q=0}^{\infty} \sum_{r=1}^{m-1} \frac{\alpha(mq+r)}{m^{\ell+1}} x^{mq+r}. \end{aligned}$$

Comparison of (5.6) and (5.7) gives

$$\begin{aligned}
 (5.8) \quad & \sum_{j=1}^{m-1} \beta_j(qm) = \alpha(q) - \frac{1}{m^{\ell+1}} \alpha(mq) \\
 & \sum_{j=1}^{m-1} \beta_j(qm+1) \zeta_m^j = -\frac{1}{m^{\ell+1}} \alpha(mq+1) \\
 & \vdots \\
 & \sum_{j=1}^{m-1} \beta_j(qm+m-1) \zeta_m^{j(m-1)} = -\frac{1}{m^{\ell+1}} \alpha(mq+m-1),
 \end{aligned}$$

for any  $q \geq 0$ . Since both sides of (5.8) are polynomials in  $q$ , we have the following polynomial identities.

$$\begin{aligned}
 (5.9) \quad & \sum_{j=1}^{m-1} \beta_j(t) = \alpha\left(\frac{t}{m}\right) - \frac{1}{m^{\ell+1}} \alpha(t) \\
 & \sum_{j=1}^{m-1} \beta_j(t) \zeta_m^j = -\frac{1}{m^{\ell+1}} \alpha(t) \\
 & \vdots \\
 & \sum_{j=1}^{m-1} \beta_j(t) \zeta_m^{j(m-1)} = -\frac{1}{m^{\ell+1}} \alpha(t).
 \end{aligned}$$

By summing up all identities in (5.9), we obtain a functional equation

$$\alpha\left(\frac{t}{m}\right) = \frac{1}{m^\ell} \alpha(t).$$

This relation is satisfied only by the polynomial of the form  $\alpha(t) = c_0 \cdot t^\ell$ , where  $c_0 \in \mathbb{C}$ . Again comparing (1.1) and (5.5),  $f(x) = c_0 \cdot A_\ell(x)$ . Since  $f(x)$  is a monic, we have  $f(x) = A_\ell(x)$ .  $\square$

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